OFFICIAL E MAIL TRANSMISSION

TO: Richard Graeter, CEO
   Graeter’s Ice Cream

FAX: Rich@graeters.com

FROM: Glycemic Research Institute®
      Clinical Trials Laboratories
      Glycemic.com

RE: Official Report: Graeter’s Ice Cream (3 Test Foods)

DATE: JUNE 29, 2014

ENCLOSED: Page 1 of 22
GLYCEMIC RESEARCH INSTITUTE®
U.S. Government Accredited Certification Organization

HUMAN NUTRITION & BIOCHEMISTRY DIVISION

Glycemic Index & Load Clinical Trials
Obesity Research & Clinical Trials
Diabetes Research & Clinical Trials
Adipose Tissue Fat-Storage Investigations & Trials

HUMAN IN VIVO CLINICAL TRIALS LABORATORY

OFFICIAL CLINICAL TRIALS LABORATORY

GLYCEMIC RESEARCH INSTITUTE® LABORATORIES
GLYCEMIC SOLUTIONS
111 Second Avenue N.E.
Suite 325
St. Petersburg, FL 33701

BOARD CERTIFIED
HUMAN IN VIVO CLINICAL TRIALS

CLINICAL STUDY
STATEMENT OF INVESTIGATION
GIC2-2014

TEST FOOD:
GRAETER’S ICE CREAM
“A Little Less Indulgent” brand

TRIAL PERIOD: 2014

www.Glycemic.com
GLYCEMIC RESEARCH INSTITUTE®
GLYCEMIC RESEARCH LABORATORIES
U.S. Government Accredited Certification Organization

Glycemic.com

CLINICAL ASSESSMENT
of GLYCEMIC INDEX and LOAD

RESEARCH CONDUCTED FOR:
GRAETER’S ICE CREAM
GRAETER’S MANUFACTURING COMPANY

Richard Graeter, President/CEO
1175 Regina Graeter Way
Cincinnati, Ohio 45216
USA
Rich@graeters.com
Graeters.com
Phone: 513.721.6610
Fax: 513.761.1909

REPORT: 2014


Test Foods: Graeter’s Ice Cream (3 Test Products)
Subjects: Pre-Screened Non-Diabetics & Diabetics
Report ID: GIC2-2014

Pages 1-20
Human In Vivo Clinical Research trials were conducted by the Official Clinical Testing Laboratory of the Glycemic Research Institute® (GRI), Glycemic Research Laboratories (GRL), Glycemic Solutions, at their Certified Human In Vivo Testing Facility, to determine the metabolic response of selected products described as “Graeter’s Ice Cream” (herein the “Test Food”).

The Test Foods were submitted by Richard Graeter/Graeter’s Manufacturing Company and arrived at the Official GRI Clinical Testing Facility (Glycemic Research Laboratories, Glycemic Solutions). The Test Food was identified, inventoried, and taken by the staff to the Clinical Trials facility.

The clinical trials were conducted under Board Approval utilizing Diabetics and Non-Diabetic subjects. The Test Foods were orally given to human subjects, and cross analyzed. Bread Average Area Under the Curve (AUC) and Test Food AUC were analyzed from serum readings and converted to the Glucose Scale.

OBJECTIVE:
To determine the glycemic index and glycemic load, associated with human oral ingestion of the Test Food (s) appropriate for Low Glycemic for Non-Diabetics and Low Glycemic for Diabetics (type 2).

DEFINITIONS

According to the *American Diabetes Association*, the Glycemic Index (GI) is defined as “A scale that ranks carbohydrates by how much they raise blood glucose levels compared to a reference food.”

According to the *American Diabetes Association*, Glycemic Load (GL) is defined as “The degree of glycemic response and insulin demand produced by a specific amount of a specific food.” Glycemic Load represents the quantity and the quality of a Test Food. GL can help predict the blood glucose response to a specific amount of a food or beverage.
GLYCEMIC INDEX BENEFITS

- Low glycemic diets help lower blood glucose levels. High glycemic foods, beverages and diets are related to weight gain, obesity, type 2 diabetes, cholesterol levels, and heart disease risk.
- Low glycemic diets allow for improved weight control and lower BMI
- Low glycemic diets decrease risk of type 2 diabetes
- Low glycemic foods allow for larger portions while high glycemic foods require smaller portion control to maintain healthy weight and blood glucose

GLYCEMIC INDEX & GLYCEMIC LOAD QUALIFICATIONS

GLYCEMIC RESEARCH INSTITUTE®
PROTOCOLS FOR LOW GLYCEMIC
GLYCEMIC INDEX (GI) & GLYCEMIC LOAD (GL)

Per accepted guidelines by the World Health Organization and the Glycemic Research Institute®, the following numbers represent Low GI and Low GL limits:

- Low GI 55 or less
- Low GL 10 or less

GLYCEMIC INDEX VS GLYCEMIC LOAD

The Glycemic Index (GI) is more important than the Glycemic Load (GL). A product cannot be considered legally Low Glycemic unless the Glycemic Index is low and the Glycemic Load is low. Having a low Glycemic Load does not make a product Low Glycemic.

In clinical trials, the glycemic load (GL) relates to the impact of the Test Food consumed, taking the glycemic index into account, and provides a broader picture of the total impact of a Test Food.
The Glycemic Index (GI) provides clinical proof of the blood sugar response in humans, and how that Test Food elevates blood glucose levels (and ultimately Insulin levels and adipose tissue fat-storage). The Glycemic Load (GL) is the glycemic index divided by 100 multiplied by its available carbohydrate content (i.e. carbohydrates minus fiber) in grams. Both the GI and GL are required in order to determine the overall effect of a Test Food.

EXAMPLE: Watermelon has a high Glycemic Index, but a fairly low Glycemic Load. In 120 grams of watermelon, there are six grams of available carbohydrate, making the GL lower than would be expected for a food with a high Glycemic Index. The lower GL of watermelon does not make it a Low Glycemic food, or an acceptable food for dieters or diabetics, as the overall impact of watermelon is contraindicated for weight loss or blood glucose balance.

ANALYSIS DIRECTIVE OF THIS TRIAL

The glycemic index, glycemic load, and glycemic response in humans were analyzed during this clinical study. The Test Foods are fed to human subjects comprised of diabetics and/or non-diabetics. Clinical testing is conducted under the direction of the Glycemic Research Laboratories Medical Advisory Board, M.D.’s, and statisticians. Testing methods were approved by the Institutional Review Boards for the State of Florida. Specific analytical testing methods are the property of the Glycemic Research Institute®.

METHODS

Utilizing standardized GS Board-Approved clinical protocols, accommodations are made for the low-end carbohydrate products tested. Ten human subjects are typically used in each product tested. White bread is used as the standard. Each subject is fed a minimum of three bread standards for comparison to the products tested. Calculations are made using the area under the curve (AUC) as compared to bread standards (converted to the glucose scale). AUC is calculated by GS statisticians using standard GS protocols.
GLYCEMIC INDEX

The glycemic index is determined In Vivo utilizing GS standardized clinical protocols.

The glycemic potential of each carbohydrate (including sugar alcohols) corresponds to the measure of the triangular surface of the hyperglycemic curve induced by carbohydrate ingestion.

Glucose, given an index of 100, represents the triangular surface of the corresponding hyperglycemic curve.

The GI of other carbohydrates, therefore, is calculated by the following formula:

\[
\text{Triangular surface of tested carbohydrate} \quad \frac{\text{Triangular surface of glucose}}{\text{Triangular surface of glucose}} \times 100
\]

The GI rises according to the level of hyperglycemia. The higher the GI, the higher the hyperglycemia induced by the carbohydrate.

Postprandial rise of blood sugar (blood glucose)
REPORT ID:  GIC2-2014

TEST FOODS
SERVING SIZES**
FEEDING PROTOCOLS
Non-Diabetic and/or Diabetic subjects were used in this test. ISO methods were employed with subjects having 50 gram glucose meals in the form of a commercially available glucose testing drink as a reference standard. At least 2 standards were used to create an average. The Test Food serving size was determined by the Test Food Label/Serving Sizes as accepted by FDA 21 CFR.

TEST FOODS SUBMITTED
GRAETER’S ICE CREAM
BRAND: “A LITTLE LESS INDULGENT”
NUMBER OF PRODUCTS TESTED: 3

**  All Test Foods Serving Sizes are required to Meet United States Food & Drug Administration (FDA) 21 CFR Serving Sizes

IDENTITY OF TEST FOODS
The frozen Ice Cream Test Foods were duly submitted to the Glycemic Research Institute® - (GRI) Laboratories - for Board Approved Independent Clinical Trials. Human In Vivo Clinical Trials were conducted on the Test Foods per GRI Clinical Trial Protocols.

THREE (3) TEST FOODS SUBMITTED: 3 FLAVORS
Madagascar Bourbon Vanilla Bean
Chocolate Chip
Mint Chocolate Chip
GLYCEMIC RESEARCH INSTITUTE®
OFFICIAL CLINICAL TRIAL RESULTS

Test Product:  GRAETER’S ICE CREAM/A LITTLE LESS INDULGENT
MADAGASCAR BOURBON VANILLA BEAN

Description:  FROZEN ICE CREAM

Type of Testing:  Non-diabetic and type 2 diabetic subjects:  ISO methods were employed with subjects having 50 gram glucose meals in the form of a commercially available glucose testing drink as a reference standard.  At least two standards were used to create an average.

Preparation:  The product arrived frozen and was maintained in a frozen state until ingested.

Serving Size:  ½ cup as measured frozen (96 g)

Nutrition Facts:  1 serving/1/2 cup/180 cal/10 g fat/21 g total carbs/6 g fiber/13 g sugar/4 g protein

Results in Non-Diabetics
• Glycemic Index:  27  (LOW GLYCEMIC)
• Glycemic Load:  5.7 gram equivalents (LOW GLYCEMIC LOAD)

Results in Diabetics (Type 2)
• Glycemic Index:  21  (LOW GLYCEMIC)
• Glycemic Load:  4.5 gram equivalents (LOW GLYCEMIC LOAD)

All subjects reported good flavor and texture.  No adverse events occurred.  No gastrointestinal side effects were reported or evidenced.
GLYCEMIC RESEARCH INSTITUTE®
OFFICIAL CLINICAL TRIAL RESULTS

Test Product: GRAETER’S ICE CREAM/A LITTLE LESS INDULGENT CHOCOLATE CHIP

Description: FROZEN ICE CREAM

Type of Testing: Non-diabetic and type 2 diabetic subjects: ISO methods were employed with subjects having 50 gram glucose meals in the form of a commercially available glucose testing drink as a reference standard. At least two standards were used to create an average.

Preparation: The product arrived frozen and was maintained in a frozen state until ingested.

Serving Size: ½ cup as measured frozen (104 g)

Nutrition Facts: 1 serving/1/2 cup/230 cal/12 g fat/29 g total carbs/6 g fiber/13 g sugar/3 g sugar alcohols/4 g protein

Results in Non-Diabetics
• Glycemic Index: 29.2  (LOW GLYCEMIC)
• Glycemic Load: 8.5  (LOW GLYCEMIC LOAD)

Results in Diabetics (Type 2)
• Glycemic Index: 22.5  (LOW GLYCEMIC)
• Glycemic Load: 6.5  (LOW GLYCEMIC LOAD)

All subjects reported good flavor and texture. No adverse events occurred. No gastrointestinal side effects were reported or evidenced.
GLYCEMIC RESEARCH INSTITUTE®
OFFICIAL CLINICAL TRIAL RESULTS

Test Product: GRAETER’S ICE CREAM/A LITTLE LESS INDULGENT MINT CHOCOLATE CHIP

Description: FROZEN ICE CREAM

Type of Testing: Non-diabetic and type 2 diabetic subjects: ISO methods were employed with subjects having 50 gram glucose meals in the form of a commercially available glucose testing drink as a reference standard. At least two standards were used to create an average.

Preparation: The product arrived frozen and was maintained in a frozen state until ingested.

Serving Size: ½ cup as measured frozen (104 g)

Nutrition Facts: 1 serving/1/2 cup/230 cal/12 g fat/29 g total carbs/6 g fiber/13 g sugar/3 g sugar alcohols/4 g protein

Results in Non-Diabetics
• Glycemic Index: 29.2 (LOW GLYCEMIC)
• Glycemic Load: 8.5 (LOW GLYCEMIC LOAD)

Results in Diabetics (Type 2)
• Glycemic Index: 22.5 (LOW GLYCEMIC)
• Glycemic Load: 6.5 (LOW GLYCEMIC LOAD)

All subjects reported good flavor and texture. No adverse events occurred. No gastrointestinal side effects were reported or evidenced.
DISCUSSION
GRAETER’S ICE CREAM REPORT
GIC2-2014

Three major factors contributed to the glycemic response evidenced in the three (3) Graeter’s Ice Cream Test Foods, which are responsible for their resulting glycemic responses:

1. The utilization of fructose as the primary sweetener
2. The addition of Resistant Maltodextrin (RM)
3. The specific protein sources and levels (from the dairy product ingredients)

The addition of Monk Fruit Extract did not elicit any quantified response, either glycemically positive or negative, in these 3 Test Foods.

The Chocolate Chip and Mint Chocolate Chip Test Foods contained Maltitol (in the chocolate chips).

Maltitol, a sugar alcohol, has the highest glycemic response of any sugar alcohol, as evidenced in the chart below, with a glycemic index of 35, which is considerably higher than that of pure fructose.

Unlike inulins, sugar alcohols do carry a glycemic load, so not all sugar alcohols are alike. Maltitol is considered a six-member-ring, with the ring as glucose or sugar, therefore Maltitol is a sugar alcohol bound to a sugar, which explains its higher glycemic index (highest of all the sugar alcohols). Protein and fiber help lower Maltitol’s GI.
Maltitol is made from maltose, which has two glucose rings bound together by the ether (-O-) linkage. Glucose has a glycemic index of 100 (extremely high), and \( \frac{1}{2} \) of the Maltitol molecule is pure sugar.

When Maltitol reaches the digestive system, it is instantly converted to its two components, glucose, which is sugar, and a mixture of sorbitol and Mannitol sugar alcohols.

Sorbitol and Mannitol have identical structures, except one is a mirror image of the other, and that stereochemical difference is sufficient to trigger Maltitol, upon ingestion, to fall-apart and provide equal amounts of glucose and sugar alcohols, which contain 2.4 calories per gram, and a higher glycemic response (blood glucose).

The Glycemic Research Institute® database (prior clinical trials) demonstrated the glycemic response of Maltitol in three separate groups of 10 human subjects. In a single-blind crossover trial, 30 human test subjects were divided into groups of 10 and given either glucose or Maltitol.
Capillary blood glucose samples were taken at 15 and 10 minutes before and 0, 15, 30, 45, 60, 90, 120, 150, and 180 minutes after consumption of the test drinks. Incremental area under the curve (AUC) of glucose and Maltitol matched crossover data. The mean Glycemic Response of Maltitol was 34-36 (+/- 3) (GI) points. Maltitol syrup has a glycemic index (GI) of 50 +, which is higher than spaghetti.

When fructose is incorporated as a sweetener into a complex food product, such as ice cream, it is associated with lower serum glucose and insulin responses as compared to comparable sucrose sweetened foods. (Am J Clin Nutr 1982).

The addition of fructose, as the primary sweetener in the three (3) Test Foods, contributed to the resulting glycemic responses (as the Monk Fruit Extract appeared at small levels in the Test Foods, and, additionally, did not contribute to the final glycemic responses), while the fructose greatly contributed to the final low glycemic response and lower Glycemic Load (GL). Contributions to the 3 Test Foods low glycemic responses, was the elimination of high-doses of known high glycemic sugars/sweeteners.

Most common ice cream products contain either sucrose or corn syrup, or high-fructose corn syrup, or combinations of said sweeteners, while the 3 Test Foods did not contain these high glycemic ingredients. The Vanilla Bean elicited the lowest GI of the 3 Test Foods, as the Chocolate Chip and Mint Chocolate Chip (with nearly identical profiles) tested higher, probably due to the use of Maltitol, whose GI is considerably higher than that of pure crystalline fructose (19 vs 35/36), plus the higher Load due to increased carbohydrate serving size.
Both Chocolate Chip and Mint Chocolate Chip flavors contained 29g of carbs (Vanilla Bean contained 21g), and chocolate chips, whose specific GI is unknown to GRI, but contributed to the overall glycemic response.

The proteins and fiber evidenced in the 3 Test Foods contributed positively to final glycemic responses due to the high quality of digestible proteins utilized in the formulas.

Clinical trials have demonstrated that when a sugar is ingested along with protein and fat, the absolute increase in plasma glucose concentration is lower than when an equivalent amount of carbohydrate is given alone.

The Peppermint Oil utilized in the Mint Chocolate Chip Ice Cream did not appear to elevate or decrease glycemic responses, in relation to the regular Chocolate Chip Ice Cream.

Many flavors alter glycemic responses to foods, as they often are bound to high glycemic carriers, such as regular Maltodextrins (non-RM) and Glucose Polymers, both known to be high glycemic carriers.

Empirical data (placebo controlled, double-blind clinical trials) provided by the United States Department of Agriculture (USDA), established that Resistant Maltodextrin (RM) added to foods, increases fecal bulk by enhancing the excretion of nitrogen and carbohydrate and the growth of specific microbial populations, but does not induce fat excretion in humans.
The dose-dependent Metabolizable Energy (ME) value of Resistant Maltodextrin (RM) is 8.2 and 10.4 kJ/g, and the Net Energy (NE) value of RM has been demonstrated (USDA) to be 8.2 and 2.0 kJ/g for a RM dose of 25 and 50 grams per day (g/d), respectively.

A key component in determining accurate glycemic responses to a food/beverage requires accurate interpretation of the clinical data accumulated.

This mandates accurate determination of all available carbohydrates in the Test Food, and includes an accurate determination of carbohydrate availability. These issues are crucial in assessing glycemic index and load.

The term “Available Carbohydrates” refers to non-digestible carbohydrates, such as fiber. Available carbohydrate is the portion of carbohydrates that can be digested. It is also referred to as glycemic, net, nutritive, or usable carbs. In the United States and Canada, the amount of carbohydrate in foods is determined by difference, which is measuring the water, fat, protein and ash per 100 g and then subtracting the total sum from 100.

Two components can undermine accuracy in glycemic index and load studies, which include (1) Label of the Test Food and (2) Determination of carbohydrate availability (prior to glycemic determination). If data in the Test Food Label is misrepresentative (such as grams of sugars, carbs, proteins, fiber), then the resulting methodologies and formulas used in calculating glycemic responses will be skewed.
If the determination of *carbohydrate availability* is not accurate, then the end calculations are also skewed, and the resulting mathematical and formulaic data is not accurate, thus the resulting glycemic index, load, and overall glycemic response is indeed, not accurate.

Carbohydrate availability is often times not accurate, as the testing methodologies differ and, as such, determination for *available carbohydrate (g)* can often vary by 40-50 percent. *In Vivo* results greatly differ from *In Vitro* results when determining carbohydrate availability in raw materials. This data is supplied by the manufacturer of the Test Food, and the manufacturer of the raw materials (ingredients) present in the Test Food.

When the clinical data is reviewed (as related to the Test Food) and said data is indicative of *skewed data*, cross-validation is the preferred path, as prior similar statistical data will verify the similarity/accuracy of the results.

In said cases, GRI protocols refer to Mathematical Modeling and cross-validation utilized in clinical studies. Statistical models involving differential equations are the standard tools utilized in identifying incorrect *input* data, including non-parametric statistics, which evaluate how well the data fit a known clinical outcome (as in available carbohydrates from a fiber product, a maltodextrin, a sugar alcohol, and variations in the available carbohydrates in Resistant Maltodextrins).

The objective is to minimize assumptions in deducing the final glycemic perimeters of a Test Food.
An example is published in the *American Journal of Clinical Nutrition*, regarding carbohydrate utilization after ingesting Resistant Maltodextrin [Availability, fermentability, and energy value of resistant maltodextrin: modeling of short-term indirect calorimetric measurements in healthy adults]. The researchers examined and questioned the accuracy of actual *carbohydrate availability* in Resistant Maltodextrins (RM), pointing out the wide range of variables in the determination of accurate carbohydrate availability per fraction ingested (Table A, B, C).

**TABLE A**

![Graph showing carbohydrate availability over time](image)

**TABLE B**

![Graph showing fractional oxidation of ingested maltodextrin](image)
Mean (±SE; —) and 95% CI (bars) estimates of fermentable carbohydrate from resistant maltodextrin with $\gamma_1$, $\gamma_2$, and $\gamma_3$ at the upper and lower boundaries; SEs are given for assumptions of suggested values ($\gamma_1 = 0.46$, $\gamma_2 = 0.67$, and $\gamma_3 = 0.9$). The period of both indirect calorimetric and breath-hydrogen measurements, •; the period of breath-hydrogen measurement alone.

**SUMMARY**

It is clear that the three (3) Test Foods examined herein meet the qualifications and criteria of the World Health Organization (WHO) and the Glycemic Research Institute® per GRI Protocols, for Low Glycemic Ice Cream and Low Glycemic for Diabetics Ice Cream (Type 2).

It is not possible to determine statistically accurate glycemic indices and/or Loads for Type I diabetics, due to their erratic responses in clinical trials.
Therefore, GRI does not endorse or certify specific foods or beverages for use in Type I diabetics, and the use of any statement or implication that the three (3) Graeter’s Ice Cream Test Foods are appropriate for Type I diabetics is in violation of FDA and FDC rules and regulations regarding claims on labels, websites, brochures, or any attendant material. Any statement to the contrary made by Graeter’s or its companies can result in government regulatory action and revocation of any Glycemic Research Institute® (GRI) Certification Mark(s).

The following three (3) Graeter’s Ice Cream products qualify as **Low Glycemic** and **Low Glycemic for Type 2 Diabetics** (per the Serving Size recommended), and may utilize the appropriate Glycemic Research Institute® (GRI) Certification Mark(s), per GRI’s protocols for Certification Marks.

**GRAETER’S A Little Less Indulgent Ice Cream**

- MADAGASCAR BOURBON VANILLA BEAN
- CHOCOLATE CHIP
- MINT CHOCOLATE CHIP

SEE REFERENCES BELOW
REFERENCES


McDonald I, Keyser A, Pacy D. Some effects, in man, of varying the load of glucose, sucrose, fructose, or sorbitol on various metabolites in blood. Am J Clin Nutr l978;31:1305-II.

Peterson DI, Reaven GM. Evidence that glucose load is an important determinant of plasma insulin response in normal subjects. Diabetes 197 l;20:729-


